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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/760,714	01/17/2001	Mike Oliphant	04997.0013.NPUS00	5846
7590 10/07/2003			EXAMINER	
Derek J. Jardi		POND, ROBERT M		
HOWREY SIM	10N ARNOLD & WH	ART UNIT	PAPER NUMBER	
	ania Avenue, N.W.	3625		
Washington, DC 20004-2402			DATE MAILED: 10/07/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
•	09/760,714	OLIPHANT ET AL.				
Office Action Summary	Examiner	Art Unit				
	Robert M. Pond	3625				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REF THE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a - If NO period for reply is specified above, the maximum statutory perion - Failure to reply within the set or extended period for reply will, by stated to the second patent term adjustment. See 37 CFR 1.704(b). Status	N. 1.136(a). In no event, however, may a re reply within the statutory minimum of thirty od will apply and will expire SIX (6) MONT tute, cause the application to become ABA	ply be timely filed (30) days will be considered timely. THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 2	7 April 2001 .					
2a) ☐ This action is FINAL . 2b) ☑	This action is non-final.					
Since this application is in condition for allo closed in accordance with the practice undoping Disposition of Claims						
4) Claim(s) 1-25 is/are pending in the application	ion.					
4a) Of the above claim(s) is/are withd	rawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-25</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9)☐ The specification is objected to by the Exami	ner.					
10)⊠ The drawing(s) filed on <u>17 January 2001</u> is/are: a)⊠ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to	***	, ,				
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the	Examiner.					
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for fore	ign priority under 35 U.S.C. §	119(a)-(d) or (f).				
a) ☐ All b) ☐ Some * c) ☐ None of:						
 Certified copies of the priority docume 	ents have been received.					
Certified copies of the priority docume	ents have been received in Ap	plication No				
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
a) ☐ The translation of the foreign language p 15)☐ Acknowledgment is made of a claim for dome	· · ·	•				
Attachment(s)	, , , , , , , , , , , , , , , , , , , ,	·•				
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449) Paper No(s	5) Notice of In	ummary (PTO-413) Paper No(s) Iformal Patent Application (PTO-152)				

DETAILED ACTION

Priority

 Applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 119(e) as follows: instant application was filed later than 12 months of provisional application's filing date.

Specification

- The abstract of the disclosure is objected to because it exceeds 150 words in length. Correction is required. See MPEP § 608.01(b).
- 3. The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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4. Claims 1-25 ar rejected under 35 USC 102(b) as being anticipated by Ginter et al. (patent 5,910,987).

Ginter et al. teach all the limitations of Claims 1-25. For example, Ginter et al. disclose systems and methods for secure transaction management and secure chains of handling and controlling of electronic works using a virtual distribution environment. Ginter et al. disclose: creators, distributors, and users of electronic works, an electronic content container, container content objects, metadata that describes the electronic work, remotely distributed databases, and database linkage to electronic works supported by web protocols (e.g. HTML, HTTP, and URL) (see at least abstract; Fig. 1 (200); Fig. 1a (200g); Fig. 2 (100); Fig. 2 (106, 112, "Added"); Fig. 5a (300); Fig. 12a (1220, 1230); Fig. 16 (METHODS 1000); col. 1, lines 1-43; col. 2, line 25 through col. 47, line 62; col. 100, lines 31-38). Ginter et al. disclose creating and partitioning VDE objects by placing meta-data (e.g. author's name, creation date) into them, and assigning rights associated with them and/or object content (e.g. publisher and/or content creator respectively) (see at least Fig. 5a (300); col. 256, lines 32-65). Ginter et al. disclose chain of handling that permits electronic works to be linked to online accounts (see at least col. 14, lines 38-65; col. 177, line 54 through col. 178, line 4). Ginter et al. further disclose permission records specifying various control relationships between objects and users, supporting single access (e.g. one-toone relationship between a user and a right user), group access (any number of people may be authorized as a group), and by example using a law firm, linking

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multiple electronic works to a client account (see at least col. 271, line 23 through col. 274, line 30).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Mr. Robert M. Pond** whose telephone number is 703-605-4253. The examiner can normally be reached Monday-Friday, 8:30AM-5:30PM Eastern.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Ms. Wynn Coggins** can be reached on 703-308-1344.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the **Receptionist** whose telephone number is **703-308-1113**.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks
Washington D.C. 20231

or faxed to:

703-872-9306 (Official communications; including After Final communications labeled "Box AF")

Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive, Arlington, VA, 7th floor receptionist.

Robert M. Pond Patent Examiner

September 26, 2003

Attachment for PTO-948 (Rev. 03/01, or earlier)

The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

INFORMATION ON HOW TO EFFECT DRAWING CHANGES

1. Correction of Informalities - 37 CFR 1.45

New corrected drawings must be filed with the changes incorporated therein Identifying indicia, if provided, should include the title of the invention. inventor's same, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened stampary period set for repty in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1.136(a) or (b) for Elling the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

2. Corrections other than informalities Noted by Draftsperson on form PTO942.

All changes to the drawings, other than informalities noted by the Draitsperson. MUST be made in the same manner as above except that normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other man correction of informalities, unless the examiner has approved the proposed analyses.

Timing of Corrections

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication. See 37 CFR 1.85(a)

Failure to take corrective action within the server of will result in ABANDONIENT of the application.